

State of Arizona
Department of Liquor Licenses and Control

Created 09/18/2024 @ 11:29:08 AM

Local Governing Body Report

LICENSE

Number:		Type:	012 RESTAURANT
Name:	RUBIO'S FRESH MEXICAN GRILL #196		
State:	Pending		
Issue Date:		Expiration Date:	
Original Issue Date:			
Location:	24920 N LAKE PLEASANT ROAD STE 140 PEORIA, AZ 85383 USA		
Mailing Address:	530 E MCDOWELL ROAD #107-241 PHOENIX, AZ 85004 USA		
Phone:	(623)362-9515		
Alt. Phone:	(480)353-8035		
Email:	TJMORSE1208@Q.COM		

AGENT

Name:	THERESA JUNE MORSE
Gender:	Female
Correspondence Address:	530 E MCDOWELL RD 107-241 PHOENIX, AZ 85004 USA
Phone:	(480)353-8035
Alt. Phone:	
Email:	TJMORSE1208@Q.COM

OWNER

Name:	THE ORIGINAL FISH TACO ARIZONA LLC		
Contact Name:	THERESA JUNE MORSE		
Type:	LIMITED LIABILITY COMPANY		
AZ CC File Number:	23716137	State of Incorporation:	DE
Incorporation Date:	08/07/2024		
Correspondence Address:	530 E MCDOWELL RD 107-241 PHOENIX, AZ 85004 USA		
Phone:	(480)353-8035		
Alt. Phone:			
Email:	TJMORSE1208@Q.COM		

Officers / Stockholders

Name:	Title:	% Interest:
JEFFERY JOSEPH CRIVELLO	Manager-LLC	100.00

**THE ORIGINAL FISH TACO ARIZONA LLC -
Manager-LLC**

Name: JEFFERY JOSEPH CRIVELLO
Gender: Male
Correspondence Address: 530 E MCDOWELL ROAD
#107-241
PHOENIX, AZ 85004
USA
Phone: (847)651-2274
Alt. Phone:
Email:

MANAGERS

Name: JEFFERY JOSEPH CRIVELLO
Gender: Male
Correspondence Address: 530 E MCDOWELL ROAD
#107-241
PHOENIX, AZ 85004
USA
Phone: (847)651-2274
Alt. Phone:
Email:

Name: WENDY JEAN DUNCAN
Gender: Female
Correspondence Address: 530 E MCDOWELL ROAD
#107-241
PHOENIX, AZ 85004
USA
Phone: (520)861-8149
Alt. Phone:
Email: WDUNCAN@RUBIOS.COM

APPLICATION INFORMATION

Application Number: 308161
Application Type: New Application
Created Date: 09/04/2024

QUESTIONS & ANSWERS

012 Restaurant

- 1) Are you applying for an Interim Permit (INP)?
Yes
What date are you taking ownership? Please upload the Interim Permit Notary page when you reach the upload page.
09/18/2024
- 2) Are you one of the following? Please indicate below.
Property Tenant
Subtenant
Property Owner
Property Purchaser
Property Management Company
PROPERTY TENANT
- 3) Is there a penalty if lease is not fulfilled?
Yes
What is the penalty?
LANDLORD REMEDIES
- 4) Is the Business located within the incorporated limits of the city or town of which it is located?
Yes
- 5) What is the total money borrowed for the business not including the lease?
Please list each amount owed to lenders/individuals.
WEXFORD CAPITAL 777 S FLAGLER DR STE 602 WEST PALM BEACH. FL 33401
\$175K
- 6) Are there walk-up or drive-through windows on the premises?
No
- 7) Does the establishment have a patio?
Yes
Is the patio contiguous or non-contiguous (within 30 feet)?
CONTIGUOUS PATIO
- 8) Is your licensed premises now closed due to construction, renovation or redesign or rebuild?
No
- 9) What type of business will this license be used for?
RESTAURANT

State of Arizona
Department of Liquor Licenses and Control

Created 09/18/2024 @ 11:29:32 AM

Local Governing Body Report

LICENSE

Number:	INP070029862	Type:	INP INTERIM PERMIT
Name:	RUBIO'S FRESH MEXICAN GRILL #196		
State:	Active		
Issue Date:	09/18/2024	Expiration Date:	01/01/2025
Original Issue Date:	09/18/2024		
* Location:	24920 N LAKE PLEASANT ROAD STE 140 PEORIA, AZ 85383 USA		
Mailing Address:	530 E MCDOWELL ROAD #107-241 PHOENIX, AZ 85004 USA		
Phone:	(623)362-9515		
Alt. Phone:	(480)353-8035		
Email:	TJMORSE1208@Q.COM		

AMENDMENT

AGENT

Name:	THERESA JUNE MORSE
Gender:	Female
Correspondence Address:	530 E MCDOWELL RD 107-241 PHOENIX, AZ 85004 USA
Phone:	(480)353-8035
Alt. Phone:	
Email:	TJMORSE1208@Q.COM

OWNER

Name:	THE ORIGINAL FISH TACO ARIZONA LLC		
Contact Name:	THERESA JUNE MORSE		
Type:	LIMITED LIABILITY COMPANY		
AZ CC File Number:	23716137	State of Incorporation:	DE
Incorporation Date:	08/07 2024		
Correspondence Address:	530 E MCDOWELL RD 107-241 PHOENIX, AZ 85004 USA		
Phone:	(480)353-8035		
Alt. Phone:			
Email:	TJMORSE1208@Q.COM		

IP

State of Arizona
Department of Liquor Licenses and Control

Created 09/18/2024 @ 11:02:44 AM

Local Governing Body Report

LICENSE

Number:	INP070029862	Type:	INP INTERIM PERMIT
Name:	RUBIO'S FRESH MEXICAN GRILL #159		
State:	Active		
Issue Date:	09/18/2024	Expiration Date:	01/01/2025
Original Issue Date:	09/18/2024		
Location:	6736 E BASELINE ROAD #101 MESA, AZ 85206 USA		
Mailing Address:	530 E MCDOWELL ROAD #107-241 PHOENIX, AZ 85004 USA		
Phone:	(480)830-2247		
Alt. Phone:	(480)353-8035		
Email:	TJMORSE1208@Q.COM		

AGENT

Name:	THERESA JUNE MORSE
Gender:	Female
Correspondence Address:	530 E MCDOWELL RD 107-241 PHOENIX, AZ 85004 USA
Phone:	(480)353-8035
Alt. Phone:	
Email:	TJMORSE1208@Q.COM

OWNER

Name:	THE ORIGINAL FISH TACO ARIZONA LLC		
Contact Name:	THERESA JUNE MORSE		
Type:	LIMITED LIABILITY COMPANY		
AZ CC File Number:	23716137	State of Incorporation:	DE
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Officers / Stockholders

Name:	Title:	% Interest:
JEFFERY JOSEPH CRIVELLO	Manager-LLC	100.00

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Manager-LLC**

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Gender: Male
Correspondence Address: 530 E MCDOWELL ROAD
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MANAGERS

Name: JEFFERY JOSEPH CRIVELLO
Gender: Male
Correspondence Address: 530 E MCDOWELL ROAD
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PHOENIX, AZ 85004
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Phone: (847)651-2274
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Name: WENDY JEAN DUNCAN
Gender: Female
Correspondence Address: 530 E MCDOWELL ROAD
#107-241
PHOENIX, AZ 85004
USA
Phone: (520)861-8149
Alt. Phone:
Email: WDUNCAN@RUBIOS.COM

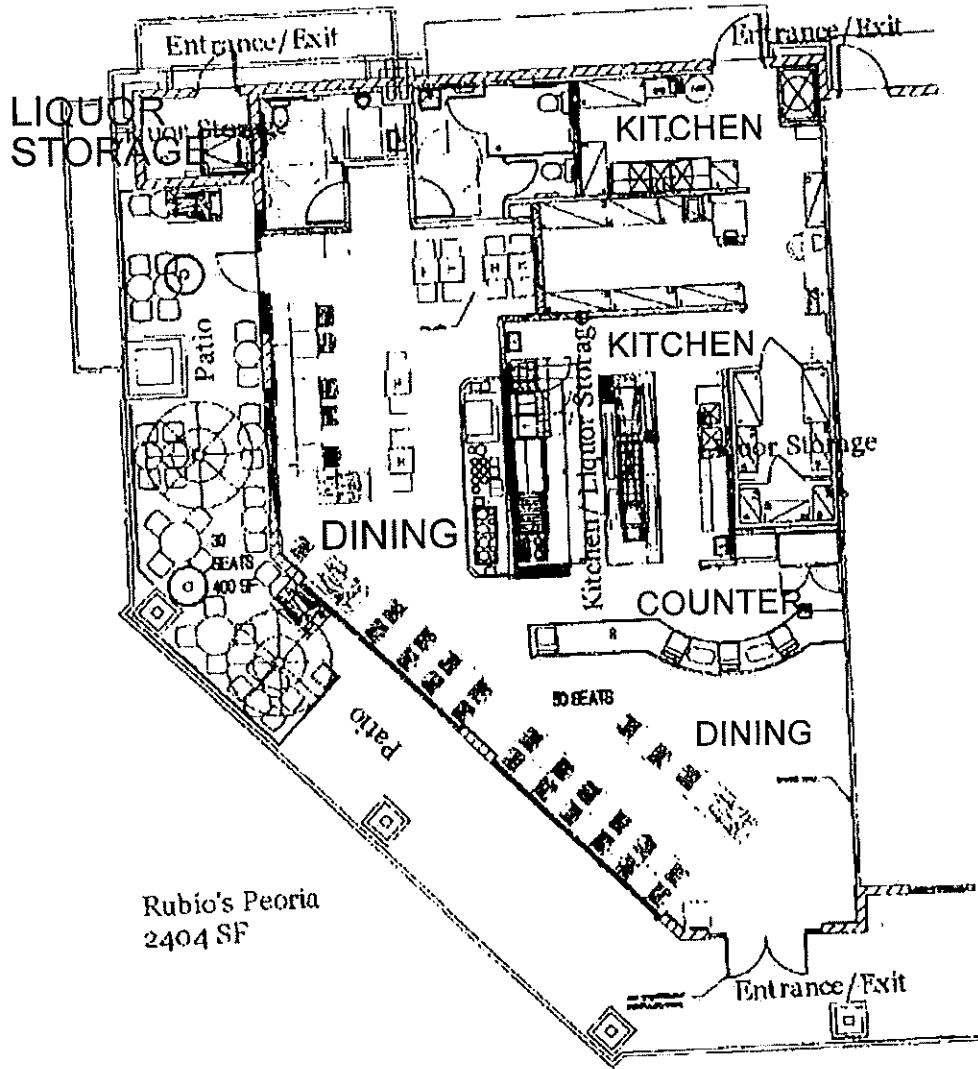
APPLICATION INFORMATION

Application Number: 308162
Application Type: New Application
Created Date: 09/04/2024

QUESTIONS & ANSWERS

INP Interim Permit

- 1) Enter License Number currently at location
12075015
- 2) Is the license currently in use?
Yes
- 3) Will you please submit section 5, page 6, of the license application when you reach the upload page?
Yes
A Document of type INTERIM NOTARY PAGE is required.



Rubio's Peoria
2404 SF



RESTAURANT/HOTEL/MOTEL OPERATION PLAN

Arizona Dept. of Liquor Licenses and Control
800 W. Washington St. 5th Floor Phoenix, AZ 85007
(602) 542-5141

Type or Print with **Black Ink**

1. Name of restaurant (Please print): RUBIOS FRESH MEXICAN GRILL #196

2. Must indicate the equipment below by Make, Model, and Capacity:

LIST ONLY THE FOLLOWING - NO ATTACHMENTS

Grill	48" FLAT GRILL JADE
Oven	24" 4 OPEN GAS BURNER RANGE, 36" COUNTERTOP CHARB
Freezer	TRUE DOUBLE DOOR FREEZER, DURACOLD WALK-IN, WORK
Refrigerator	TRUE DOUBLE DOOR REFRIGERATOR, DURACOLD WALK-IN
Sink	HAND SINK, TRIPLE SINK, PREP SINK, MOP SINK
Dish Washing Facilities	TRIPLE SINK
Food Preparation Counter (Dimensions)	STAINLESS STEEL PREP TABLES MULTIPLE
Other	GAS FRYER, SODA FOUNTAIN, REF. SALSA BAR, ICED TEA M

3. Attach a copy of your FULL menu with pricing **INCLUDING NON-ALCOHOLIC BEVERAGES**

4. What percentage of your public premises is used primarily for restaurant dining?

(Do not include kitchen, bar, hi-top tables, or game area.) 85 %

5. Does your restaurant have a bar area that is distinct and separate from the dining area? YES No

(If yes, what percentage of the public floor space does this area cover?) _____ %

6. List the **seating capacity** for:

a) Restaurant dining area of your premises: [85]

(DO NOT INCLUDE PATIO SEATING)

b) Bar area [+ 0]

TOTAL [= 85]

7. What type of dinnerware is primarily used in your restaurant? Reusable Disposable Both

8. Does your restaurant contain any **games, televisions, or any other entertainment**? YES No

If yes, specify what types and how many (examples: 4-TV's, 2-Pool Tables, 1-Video Game, etc.)

9. Do you have live entertainment or dancing? YES No

If yes, what type and how often (example: DJ-2 x a week, Karaoke-2 x a month, Live Band-1 x a month, etc.)

10. List number of employees for each position:

Position	How many
Cooks	5
Bartenders	0
Hostesses	0
Managers	1
Servers & CASHIERS	3
Other (BUSSEr)	1
Other ()	
Other ()	

I, (Print Full Name) THERESA JUNE MORSE, hereby swear under penalty of perjury and in compliance with A.R.S. § 4-210(A)(2) and (3) that I have read and understand the foregoing and verify that the information and statements that I have made herein are true and correct to the best of my knowledge.

Applicant Signature: 

TACOS

Taco plates served with pinto beans and chips (320 cal). Served with corn tortillas unless otherwise listed.

GRILLED SEAFOOD

à la carte | 2 taco plate

GOURMET SHRIMP

Toasted cheese, avocado, crispy bacon, cilantro/ onion and chipotle sauces. 5.79 | 340 cal

SALSA VERDE SHRIMP

Avocado, cheese, cabbage, cilantro/ onion and chipotle sauce on a flour tortilla. 5.49 | 290 cal

MEXICAN STREET CORN SHRIMP

Toasted cheese, roasted corn, cotija cheese, cilantro/ onion and chipotle sauce. 5.79 | 340 cal

WILD-CAUGHT MANGO MAHI MAHI

Grilled or blackened with guacamole, mango salsa, cabbage and chipotle sauce on a flour tortilla. 5.99 | 290/300 cal

WILD-CAUGHT MAHI MAHI

Grilled or blackened with roasted corn, cabbage, cilantro/ onion and chipotle sauce. 5.79 | 230/240 cal

ATLANTIC SALMON

Grilled or blackened with roasted corn, cabbage, cilantro/ onion and chipotle sauce. 6.29 | 270/280 cal

TACO TRIOS

Add chips and beans for \$2.25

THE COASTAL TRIO

The Original Fish Taco, Salsa Verde Shrimp Taco and Wild-Caught Blackened Mahi Mahi Taco. 13.49 | 840 cal

SHRIMP TRIO

Grilled Gourmet Shrimp Taco, Salsa Verde Shrimp Taco and Mexican Street Corn Shrimp Taco. 14.49 | 960 cal

GRILLED CHICKEN & STEAK

à la carte | 2 taco plate

GRILLED GOURMET TACOS™

Toasted cheese, avocado, crispy bacon, cilantro/ onion and chipotle sauces. All Natural Chicken 5.49 | 350 cal

CLASSIC TACOS

Guacamole, cheese, salsa fresca, romaine and chipotle sauce. All Natural Chicken 4.99 | 250 cal

STREET TACOS

Guacamole and cilantro/ onion. All Natural Chicken 4.29 | 100 cal

MIX 'N MATCH PLATE

Any two tacos with pinto beans and chips. 9.99-14.49 | 520-1100 cal

The Original
FISH TACO®

WILD-CAUGHT ALASKA POLLOCK
BEEN BATTERED BY HALL'S

à la carte | 2 taco plate

THE ORIGINAL FISH TACO®

Mild salsa, white sauce and cabbage. 4.99 | 330 cal

FISH TACO ESPECIAL

The Original Fish Taco® with guacamole, cheese and cilantro/ onion. 5.49 | 390 cal



*Product availability may vary depending on location

BURRITOS

Served with chips (210 cal).

GRILLED SEAFOOD

CLASSIC SHRIMP

Cheese, salsa fresca, Mexican rice, pinto beans and chipotle sauce.
12.49 | 880 cal

ANCHO CITRUS SHRIMP

Guacamole, citrus rice, black beans, salsa fresca and chipotle sauces.
12.49 | 830 cal

SHRIMP & BACON

Toasted cheese, guacamole, blackened chilies, citrus rice, salsa fresca and chipotle sauces.
12.99 | 1010 cal

WILD-CAUGHT MAHI MAHI

Grilled or blackened with guacamole, roasted corn, Mexican rice, cabbage, cilantro/onion and chipotle sauce.
13.49 | \$30.850 cal

ATLANTIC SALMON

Grilled or blackened with guacamole, roasted corn, Mexican rice, cabbage, cilantro/onion and chipotle sauce.
13.99 | 920 cal

SIGNATURE BEER-BATTERED FISH

Guacamole, black beans, white sauce, cabbage, cilantro/onion and mild salsa.
12.49 | 940 cal

GRILLED CHICKEN, STEAK & OTHER

BURRITO ESPECIAL

Guacamole, citrus rice, black beans, salsa fresca and chipotle sauces.
All Natural Chicken 10.99 | 880 Cal All Natural Steak 12.49 | 930 Cal
Impossible™ Protein 13.49 | 950 Cal

CALIFORNIA BURRITO

Seasoned fries, guacamole, melted cheese, salsa fresca and chipotle sauce.
All Natural Chicken 12.49 | 1070 Cal All Natural Steak 12.99 | 1120 Cal
Impossible™ Protein 13.99 | 1160 Cal

BEAN & CHEESE

With mild salsa.
\$3.99 | 750 cal

Impossible™ is a registered trademark of Impossible Foods Inc. (US & CA) under license.

**Product availability may vary depending on location*

BOWLS & SALADS

CALIFORNIA BOWL

Guacamole, citrus rice, black beans, salsa fresca, romaine, chipotle sauce and salsa verde or roasted chipotle salsa.

610-770 cal

CILANTRO LIME QUINOA BOWL

Grilled veggies, avocado slices, brown rice & quinoa, black beans, romaine, cilantro lime sauce and almonds.

+\$1.50 | 630-780 cal

MEXICAN STREET CORN BOWL

Roasted corn, guacamole, citrus rice, black beans, romaine, cotija cheese, cilantro/onion, chili lime tajin®, cilantro lime mojo sauce and chipotle sauce.

+\$1 | 790-940 cal

CHOPPED SALAD

Cheese, tortilla strips, salsa fresca, romaine, cabbage and chipotle ranch.

440-590 cal

MANGO AVOCADO SALAD

Brown rice & quinoa, romaine, mango salsa, avocado slices, tortilla strips and lemon agave vinaigrette.

+\$1.50 | 520-670 cal

AVOCADO CORN TACO SALAD

Roasted corn, avocado slices, black beans, romaine, salsa fresca, tortilla strips and pickled jalapeno ranch.

+\$1 | 500-650 cal

CHOOSE YOUR PROTEIN:

Grilled Shrimp	12.99	All Natural Chicken	11.49
Wild-Caught Mahi Mahi	13.49	All Natural Steak	12.99
Atlantic Salmon	13.79	Grilled Veggies	11.99

*Product availability may vary depending on location

QUESADILLAS & NACHOS

QUESADILLA

Guacamole, sour cream, salsa fresca and chips.
1010 - 1160 cal

LOADED NACHOS

Guacamole, pinto beans, sour cream and salsa fresca.
+50¢ | 100 - 1250 cal

CHOOSE YOUR PROTEIN:

Cheese	9.99	All Natural Steak	12.99
All Natural Chicken	11.49	Grilled Shrimp	12.49

SIDES

GUACAMOLE & CHIPS
4.99 | 340 cal

BLACK BEANS
2.49 | 100 cal 3.99 | 280 cal

TORTILLA CHIPS
2.49 | 210 cal 3.99 | 460 cal

NO-FRIED PINTO BEANS
2.49 | 110 cal 3.99 | 300 cal

MEXICAN OR CITRUS RICE
2.49 | 100 cal 3.99 | 270 cal

BROWN RICE & QUINOA
2.79 | 80 cal 4.29 | 210 cal

MEXICAN STREET CORN
4.49 | 240 cal

2,000 calories a day is used for general nutrition advice, but calorie needs vary.
Additional nutrition information available upon request.

**Product availability may vary depending on location*

5 UNDER \$10

THE ORIGINAL FISH TACO · TWO TACO PLATE 9.99 | 980 cal

BAJA BEER-BATTERED SHRIMP TWO TACO PLATE 8.99 | 1020 cal
Sustainable shrimp fried in our signature beer-batter with cabbage, salsa fresca and Baja sauce. Served with chips and pinto beans.

BAJA GRILL CHICKEN BURRITO 8.99 | 860 cal
Grilled chicken, melted cheese, guacamole and salsa fresca wrapped in a warm flour tortilla. Served with chips. **45% less carbs.***

CLASSIC TACO TRIO 9.99 | 760-820 cal

Mix and match three Classic Chicken or Classic Steak Tacos
CHOPPED SALAD WITH CHICKEN 9.99 | 480 cal

KIDS

Choose an entrée, 2 sides and a kids drink.
Sides: chips, rice, beans, fries +25c (+310 cal),
applesauce (+50 cal) or Kids churro (+150 cal).

ENTRÉES

BEAN & CHEESE BURRITO 6.99 | 580 cal

CHICKEN BOWL 6.99 | 300 cal
Mexican rice, black beans & cheese.

QUESADILLA 6.99 | 540 cal
Cheese +50c | 580 cal
Chicken +50c | 580 cal

BUILD YOUR OWN TACOS

Choice of Grilled All Natural Chicken, All Natural Steak, Shrimp or Wild Mahi Mahi (+50c) with cheese, lettuce and two flour tortillas.
6.99 | 380/440 cal

KIDS DIPPER

All Natural Chicken Bites or Wild Caught Crispy Fish. Served with fries and ranch or ketchup and a kids drink.
6.99 | 730/1060 cal

DRINKS

Great Taste DAIYANI

BEVERAGE BAR 1g 3.29 | 3.99 | 0-450 cal

BOTTLED DRINKS 3.49-3.99 | 0-220 cal

MEXICAN BEER 5.99 | 140-150 cal

MARGARITA 6.49 | 210 cal



*Compared to the Rulo's Burrito Especial with Chicken.

*Product availability may vary depending on location



**RECORDS REQUIRED
FOR AUDIT
RESTAURANT/HOTEL/MOTEL**

Arizona Dept. of Liquor Licenses and Control
800 W. Washington St. 5th Floor Phoenix, AZ 85007
(602) 542-5141

Type or Print with **Black Ink**

In the event of an audit, you will be asked to provide to the Department any documents necessary to determine Compliance with A.R.S. §4-205.02(G). Such documents requested may include however, are not limited to:

Rubio's Fresh Mexican Grill # 196

1. Name of restaurant (Please print): _____
2. All invoices and receipts for the purchase of food and spirituous liquor for the licensed premises.
3. A list of **all** food and liquor vendors
4. The restaurant menu used during the audit period
5. A price list for alcoholic beverages during the audit period
6. Mark-up figures on food and alcoholic products during the audit period
7. A recent, **accurate** inventory of food and liquor (taken within two weeks of the Audit Interview Appointment)
8. Monthly Inventory Figures - beginning and ending figures for food and liquor
9. Chart of accounts (copy)
10. Financial Statements-Income Statements-Balance Sheets

11. General Ledger

A. Sales Journals/Monthly Sales Schedules

- 1) Daily sales Reports (to include the name of each waitress/waiter, bartender, etc. with sales for that day)
- 2) Daily Cash Register Tapes - Journal Tapes and Z-tapes
- 3) Dated Guest Checks
- 4) Coupons/Specials/Discounts
- 5) Any other evidence to support income from food and liquor sales

B. Cash Receipts/Disbursement Journals

- 1) Daily Bank Deposit Slips
- 2) Bank Statements and canceled checks

12. Tax Records

- A. Transaction Privilege Sales, Use and Severance Tax Return (copies)
- B. Income Tax Return - city, state and federal (copies)
- C. Any supporting books, records, schedules or documents used in preparation of tax returns

13. Payroll Records

- A. Copies of all reports required by the State and Federal Government
- B. Employee Log (A.R.S. §4-119)
- C. Employee time cards (actual document used to sign in and out each work day)
- D. Payroll records for all employees showing hours worked each week and hourly wages

14. Off-site Catering Records (must be complete and separate from restaurant records)

- A. All documents which support the income derived from the sale of food off the license premises.
- B. All documents which support purchases made for food to be sold off the licensed premises.
- C. All coupons/specials/discounts

The sophistication of record keeping varies from establishment to establishment. Regardless of each licensee's accounting methods, the amount of gross revenue derived from the sale of food and liquor must be substantially documented.

**REVOCAION OF YOUR LIQUOR LICENSE MAY OCCUR IF YOU FAIL TO COMPLY WITH
A.R.S. §4-210(A)7 AND A.R.S. §4-205.02(G).**


A.R.S. §4-210(A)7

The licensee fails to keep for two years and make available to the department upon reasonable request all invoices, records, bills or other papers and documents relating to the purchase, sale and delivery of spirituous liquors and, in the case of a restaurant or hotel-motel licensee, all invoices, records, bills or other papers and documents relating to the purchase, sale and delivery of food.

A.R.S. §4-205.02(G)

For the purpose of this section:

1. "Restaurant" means an establishment which derives **at least forty percent (40%)** of its gross revenue from the sale of food
2. "Gross revenue" means the revenue derived from all sales of food and spirituous liquor on the licensed premises regardless of whether the sales of spirituous liquor are made under a restaurant license issued pursuant to this section or under any other license that has been issued for the premises pursuant to this article.

<p>I, (Print Full Name) <u>Theresa June Morse</u>, hereby swear under penalty of perjury and in compliance with A.R.S. § 4-210(A)(2) and (3) that I have read and understand the foregoing and verify that the information and statements that I have made herein are true and correct to the best of my knowledge.</p> <p style="text-align: right;">Applicant Signature: </p>

MAKE A COPY OF THIS DOCUMENT AND KEEP IT WITH RECORDS REQUIRED BY THE STATE



Arizona Dept. of Liquor Licenses and Control
https://www.azliquor.gov
(602) 542-5141

DLLC USE ONLY
Fee: 250
Job #: 308161
Date Accepted: 9/14/24
CSR: [Redacted]

Personal Information Questionnaire

FP current 08.18.2023

ATTENTION APPLICANT: This is a legally binding document. An investigation of your background will be conducted. Incomplete applications will not be accepted. False or misleading answers may result in the denial or revocation of a license or permit and could result in criminal prosecution.

THE COMPLETED QUESTIONNAIRE NEEDS TO BE SUBMITTED TO THE DEPARTMENT ALONG WITH A \$22. FEE, AND FD-258 FINGERPRINT CARD, THAT HAS BEEN SEALED IN AN ENVELOPE, AND SIGNED OR INITIALED BY THE FINGERPRINT TECHNICIAN, MUST INCLUDE THE FINGERPRINT VERIFICATION FORM. MUST BE COMPLETED BY A RECOGNIZED FINGERPRINT SERVICE OR LAW ENFORCEMENT AGENCY.

Agent: a person who is designated by an applicant or licensee to receive communications from the department and to file and sign documents submitted to the department on behalf of the applicant or licensee. An agent is not a manager.

A.R.S. §4-202(A).

Controlling Person: person directly or indirectly possessing control of an applicant or licensee.

A.R.S. §4-101(10).

Manager: An individual (not an entity) approved by the Department of Liquor who has the authority to organize, direct, carry out, control or to otherwise operate the day-to-day operations of a liquor licensed business.

A.R.S. §4-101(22) and A.R.S. §4-202(C)

SECTION - I INDIVIDUAL INFORMATION

[X] AGENT [] CONTROLLING PERSON [] MANAGER

- 1. Name: MORSE THERESA JUNE
2. Social Security #: [Redacted] Birth Date: [Redacted]
3. Driver's License #: [Redacted] State Issued: [Redacted]
5. Are you a resident of Arizona? [X] Yes [] No Date of residency: 07 / 04 / 1981
6. Email address: TJMORSE1208@Q.COM
7. Home Address: [Redacted]
8. Daytime phone #: 480-353-8035 Alternative phone #: 480-353-8035

SECTION - II BUSINESS INFORMATION

- 1. License Number:
2. Business Name (doing business as): RUBIO'S FRESH MEXICAN GRILL #196
3. Business Address: 24920 N LAKE PLEASANT RD STE 140 PEORIA AZ 85383

SECTION 3 -- DAY TO DAY OPERATION OF BUSINESS ^{24 9 4} ^{Liqu. Dept. Form 913}

Must attach copies of Basic and Management Title 4 training certificates for person managing the day to day operation of the licensed business.

Who is managing the day to day operations? Agent Controlling Person Manager


Name of persons who will be handling the day to day operations: _____

DUNCAN, WENDY JEAN

SECTION 4 -- BACKGROUND

If you answer "YES" to any Question 1 through 5 YOU MUST attach a signed statement. Give complete details including dates, agencies involved and dispositions. CHANGES TO QUESTIONS 1-5 MAY NOT BE ACCEPTED

- 1. Have you owned, or been a controlling person of any entities that held a liquor license in Arizona, or any jurisdiction, in the past 5 years? Yes No
- 2. Have you been cited, arrested, indicted, convicted, or required to appear in court for violation of ANY criminal law or ordinance, regardless of the disposition, even if dismissed or expunged, within the past 5 years? Yes No
- 3. Has an entity in which you are or have been a controlling person had an application or license rejected, denied, revoked, or suspended in or outside of Arizona within the last 5 years? A.R.S. §4-202(D) Yes No
- 4. Have you had ANY administrative law citations, compliance actions, or consents, in any jurisdiction in the past 5 years? (Do not include civil traffic tickets) A.R.S. §4-202, 4-210 Yes No
*Administrative Law Violations are any civil penalties, fines, suspension, or revocations of your liquor license.
- 5. Has anyone EVER obtained a judgement against you the subject of which involved fraud or misrepresentation? Yes No

I, (Print Full Name) THERESA JUNE MORSE hereby swear under penalty of perjury and in compliance with A.R.S. § 4-210(A)(2) and (3) that I have read and understand the foregoing and verify that the information and statements that I have made herein are true and correct to the best of my knowledge.
Signature:  Date: 09/01/24

SECTION 3 – DAY TO DAY OPERATION OF BUSINESS

§ 4-214 Liqu. Dept. R1914

Must attach copies of Basic and Management Title 4 training certificates for person managing the day to day operation of the licensed business.

Who is managing the day to day operations? Agent Controlling Person Manager


Name of persons who will be handling the day to day operations: _____

SECTION 4 – BACKGROUND

If you answer "YES" to any Question 1 through 5 YOU MUST attach a signed statement. Give complete details including dates, agencies involved and dispositions. CHANGES TO QUESTIONS 1-5 MAY NOT BE ACCEPTED

- 1. Have you owned, or been a controlling person of any entities that held a liquor license in Arizona, or any jurisdiction, in the past 5 years? Yes No
- 2. Have you been cited, arrested, indicted, convicted, or required to appear in court for violation of ANY criminal law or ordinance, regardless of the disposition, even if dismissed or expunged, within the past 5 years? Yes No
- 3. Has an entity in which you are or have been a controlling person had an application or license rejected, denied, revoked, or suspended in or outside of Arizona within the last 5 years? A.R.S. §4-202(D) Yes No
- 4. Have you had ANY administrative law citations, compliance actions, or consents, in any jurisdiction in the past 5 years? (Do not include civil traffic tickets) A.R.S. §4-202, 4-210 Yes No
*Administrative Law Violations are any civil penalties, fines, suspension, or revocations of your liquor license.
- 5. Has anyone EVER obtained a judgement against you the subject of which involved fraud or misrepresentation? Yes No

I, (Print Full Name) Jeffery Joseph Crivello hereby swear under penalty of perjury and in compliance with A.R.S. § 4-210(A)(2) and (3) that I have read and understand the foregoing and verify that the information and statements that I have made herein are true and correct to the best of my knowledge.

Signature:  Date: 9/1/2024

SECTION 3 – DAY TO DAY OPERATION OF BUSINESS **AMENDMENT**

Must attach copies of Basic and Management Title 4 training certificates for person managing the day to day operation of the licensed business.

Who is managing the day to day operations? Agent Controlling Person Manager

Name of persons who will be handling the day to day operations: _____
WENDY JEAN DUNCAN

SECTION 4 – BACKGROUND

If you answer "YES" to any Question 1 through 5 YOU MUST attach a signed statement. Give complete details including dates, agencies involved and dispositions. CHANGES TO QUESTIONS 1-5 MAY NOT BE ACCEPTED

- 1. Have you owned, or been a controlling person of any entities that held a liquor license in Arizona, or any jurisdiction, in the past 5 years? Yes No
- 2. Have you been cited, arrested, indicted, convicted, or required to appear in court for violation of ANY criminal law or ordinance, regardless of the disposition, even if dismissed or expunged, within the past 5 years? Yes No
- 3. Has an entity in which you are or have been a controlling person had an application or license rejected, denied, revoked, or suspended in or outside of Arizona within the last 5 years? *A.R.S. §4-202(D)* Yes No
- 4. Have you had ANY administrative law citations, compliance actions, or consents, in any jurisdiction in the past 5 years? (Do not include civil traffic tickets)
A.R.S. §4-202, 4-210
**Administrative Law Violations are any civil penalties, fines, suspension, or revocations of your liquor license.* Yes No
- 5. Has anyone EVER obtained a judgement against you the subject of which involved fraud or misrepresentation? Yes No

I, (Print Full Name) Jeffery Joseph Crivello hereby swear under penalty of perjury and in compliance with A.R.S. § 4-210(A)(2) and (3) that I have read and understand the foregoing and verify that the information and statements that I have made herein are true and correct to the best of my knowledge.

Signature: _____ Date: _____

ADDENDUM TO QUESTIONNAIRE

Jeffery Joseph Crivello

Section 4

Question 1: I have been a controlling person on Famous Dave's and Barrio Queen in Arizona.

Famous Dave's

16148 N 83rd Ave, Peoria, AZ 85382

1011 N Dobson Rd, Mesa, AZ 85201

3250 W Frye Rd, Chandler, AZ 85226

Barrio Queen:

10455 W McDowell Rd, Avondale, AZ 85392

21001 N Tatum Blvd, Suite 98, Phoenix, AZ 85050

7640 W Bell Rd, Glendale, AZ 85308

10455 W McDowell Rd, Avondale, AZ 85392

21001 N Tatum Blvd, Suite 98, Phoenix, AZ 85050

7640 W Bell Rd, Glendale, AZ 85308

388 N Gilbert Rd, Gilbert, AZ 85234

7114 E Stetson Drive Ste 105, Scottsdale, AZ 85251

Respectfully submitted,


Jeffery Joseph Crivello


ADDENDUM TO QUESTIONNAIRE

Jeffery Joseph Crivello

Section 4

Question 1: I have been a controlling person on Famous Daves and Bario Queen in Arizona.

Respectfully submitted,



Jeffery Joseph Crivello



Arizona Dept. of Liquor Licenses and Control
 https://www.azliquor.gov
 (602) 542-5141

DLLC USE ONLY	
Fee:	250
Job #:	308161
Date Accepted:	4/4/24
CSR:	[REDACTED]

Personal Information Questionnaire

fp current 6-2-2024

ATTENTION APPLICANT: This is a legally binding document. An investigation of your background will be conducted. Incomplete applications will not be accepted. False or misleading answers may result in the denial or revocation of a license or permit and could result in criminal prosecution.

THE COMPLETED QUESTIONNAIRE NEEDS TO BE SUBMITTED TO THE DEPARTMENT ALONG WITH A \$22. FEE, AND FD-258 FINGERPRINT CARD, THAT HAS BEEN SEALED IN AN ENVELOPE, AND SIGNED OR INITIALED BY THE FINGERPRINT TECHNICIAN, MUST INCLUDE THE FINGERPRINT VERIFICATION FORM. MUST BE COMPLETED BY A RECOGNIZED FINGERPRINT SERVICE OR LAW ENFORCEMENT AGENCY.

Agent: a person who is designated by an applicant or licensee to receive communications from the department and to file and sign documents submitted to the department on behalf of the applicant or licensee. An agent is not a manager.

A.R.S. §4-202(A).

Controlling Person: person directly or indirectly possessing control of an applicant or licensee.

A.R.S. §4-101(10).

Manager: An individual (not an entity) approved by the Department of Liquor who has the authority to organize, direct, carry out, control or to otherwise operate the day-to-day operations of a liquor-licensed business.

A.R.S. §4-101(22) and A.R.S. §4-202(C)

SECTION 1 - INDIVIDUAL INFORMATION

AGENT
 CONTROLLING PERSON
 MANAGER

1. Name: DUNCAN WENDY JEAN
Last First Middle

2. Social Security # [REDACTED] Birth Date: [REDACTED]
(NOT a public record) (NOT a public record)

3. Driver's License # [REDACTED] State Issued: [REDACTED]
(NOT a public record)

5. Are you a resident of Arizona? Yes No Date of residency: 4 / 15 / 1969

6. Email address: WDUNCAN@RUBIOS.COM

7. Home Address: [REDACTED]

8. Daytime phone #: 520-861-8149 Alternative phone #: 480-353-8035

SECTION 2 - INFORMATION ABOUT THE BUSINESS

1. License Number: _____

2. Business Name (doing business as): RUBIO'S FRESH MEXICAN GRILL #196

3. Business Address: 24920 N LAKE PLEASANT RD STE 140 PEORIA AZ 85383

SECTION 3 – DAY TO DAY OPERATION OF BUSINESS
24 9 24 Liqu. Dept. Form 9-14

Must attach copies of Basic and Management Title 4 training certificates for person managing the day to day operation of the licensed business.

Who is managing the day to day operations? Agent Controlling Person Manager

Name of persons who will be handling the day to day operations: _____

DUNCAN, WENDY JEAN

SECTION 4 – BACKGROUND

If you answer "YES" to any Question 1 through 5 YOU MUST attach a signed statement. Give complete details including dates, agencies involved and dispositions. CHANGES TO QUESTIONS 1-5 MAY NOT BE ACCEPTED

1. Have you owned, or been a controlling person of any entities that held a liquor license in Arizona, or any jurisdiction, in the past 5 years? Yes No
2. Have you been cited, arrested, indicted, convicted, or required to appear in court for violation of ANY criminal law or ordinance, regardless of the disposition, even if dismissed or expunged, within the past 5 years? Yes No
3. Has an entity in which you are or have been a controlling person had an application or license rejected, denied, revoked, or suspended in or outside of Arizona within the last 5 years? *A.R.S. §4-202(D)* Yes No
4. Have you had ANY administrative law citations, compliance actions, or consents, in any jurisdiction in the past 5 years? (Do not include civil traffic tickets)
A.R.S. §4-202, 4-210
**Administrative Law Violations are any civil penalties, fines, suspension, or revocations of your liquor license.* Yes No
5. Has anyone EVER obtained a judgement against you the subject of which involved fraud or misrepresentation? Yes No

I, (Print Full Name) WENDY JEAN DUNCAN hereby swear under penalty of perjury and in compliance with A.R.S. § 4-210(A)(2) and (3) that I have read and understand the foregoing and verify that the information and statements that I have made herein are true and correct to the best of my knowledge.

Signature: _____

Date: 8/29/24

10/12/16

Certificate of Completion For

Title 4 BASIC Liquor Law Training

<input type="checkbox"/>	On-sale
<input type="checkbox"/>	Off-sale
<input checked="" type="checkbox"/>	On- and off-sale

A Certificate of Completion must be on a form provided by the Arizona Department of Liquor. Certificates are completed by a state-approved training provider and, when issued, the Certificate is signed by the course participant.
 The State requires BASIC Title 4 training only as a prerequisite for MANA GEMENT Title 4 training or as a result of a liquor law violation. Persons required to have BASIC Title 4 training are listed on the back of this Certificate. Licensees sometimes require BASIC Title 4 Training a condition of employment.
 A replacement Certificate of Completion for title 4 training must be available through the training provider for two years after the training completion date.

Student Information

Wendy Dunean

08/27/2024

08/27/2027

Training Completion Date

Certificate Expiration Date (three years from completion date)

Training Provider Information

ABC - Arizona Business Council for Alcohol Education

Company Name

8155 North 24th Avenue, Suite A; Phoenix, Arizona 85021

Mailing Address

(602) 285-1396

Daytime Contact Phone Number

I, Jesus Altamirano, certify that the above named individual did successfully complete

Instructor Name (please print)

Title 4 BASIC Training in accordance with A.R.S. §4-112(G)(2) and Arizona Administrative Code (A.A.C.) R19-1-103 using training course content and materials approved by the Arizona Department of Liquor Licenses and Control. I understand that misuse of this Certificate of Completion can result in the revocation of State-approval for the Title 4 Training Provider named in this section as provided by A.A.C. R19-1-103(E) and (F).

22 / 9 / 24

Day / Mo / Year

Persons required to complete BASIC & MANAGEMENT Title 4 training: 1) owner(s) actively involved in the daily business operations of a liquor-licensed business of a series listed below
 2) licensees, agents and managers actively involved in the daily business operations of a liquor-licensed business of a series listed below

In-state Microbrewery (series 3)
 Conveyance (series 8)
 Restaurant (series 12)

Government (series 5)
 Liquor Store (series 9)
 In-state Farm Winery (series 13)

Bar (series 6)
Private Club (series 14)

Beer & Wine Bar (series 7)
 Hotel/Motel w/restaurant (series 11)
 Beer & Wine Store (series 10)

Liquor license applications (initial and renewal) are not complete until valid Certificates of Completion for all required persons have been submitted to the Department of Liquor.

The questionnaire (which designates a manager to a location) and the agent change form (which assigns a new agent to active liquor licenses) are not complete until valid Certificates of Completion for all required persons have been submitted to the Department of Liquor.

Certificate of Completion
For

Title 4 MANAGEMENT Liquor Law Training

A Certificate of Completion must be on a form provided by the Arizona Department of Liquor. Certificates are completed by a state-approved training provider and, when issued, the Certificate is signed by the course participant. Basic Title 4 training is a prerequisite for MANAGEMENT Title 4 training. A valid Certificate of Completion for BASIC Title 4 training must be on file with the Department of Liquor and satisfactory completion of a state-approved BASIC Title 4 course must be verified by the training provider prior to issuing a Certificate of Completion for MANAGEMENT Title 4 training. A replacement Certificate of Completion for Title 4 training must be available through the training provider for two years after the training completion date.

Student Information
Wendy Duncan

08/27/2024

08/27/2027

Training Completion Date

Certificate Expiration Date
(three years from completion date)

Training Provider Information
ABC - Arizona Business Council for Alcohol Education

Company Name

8155 North 24th Avenue, Suite A; Phoenix, Arizona 85021

Mailing Address

(602) 285-1396

Daytime Contact Phone Number

I, Jesus Altamirano, certify that the above named individual did successfully complete

Instruction Name (please print)

Title 4 MANAGEMENT Training in accordance with A.R.S. §4-112(G)(2) and Arizona Administrative Code (A.A.C.) R19-1-103 using training course content and materials approved by the Arizona Department of Liquor Licenses and Control. I understand that misuse of this Certificate of Completion can result in the revocation of State-approval for the Title 4 training. I am named in this section as provided by A.A.C. R19-1-103(E) and (F).

27 / 8 / 24
Day / Mo / Year

Persons required to complete BASIC & MANAGEMENT Title 4 training: 1) owner(s) actively involved in the daily business operations of a liquor-licensed business or a series listed below
2) licensee, agent(s) and manager(s) actively involved in the daily business operations of a liquor-licensed business or a series listed below

In-state Microbrewery (series 3)
Conveyance (series 8)
Restaurant (series 12)

Government (series 5)
Liquor Store (series 9)
In-state Farm Winery (series 13)

Bar (series 6)
Private Club (series 14)

Beer & Wine Bar (series 7)
Hotel/Motel/Wine Restaurant (series 11)
Beer & Wine Store (series 10)

Liquor license applications (initial and renewal) are not complete until valid Certificates of Completion for all required persons have been submitted to the Department of Liquor. The questionnaire (which designates a manager to a location) and the agent change form (which assigns a new agent to active liquor licenses) are not complete until valid Certificates of Completion for all required persons have been submitted to the Department of Liquor.