

**State of Arizona**  
**Department of Liquor Licenses and Control**

Created 09/18/2024 @ 10:16:16 AM

Local Governing Body Report

**LICENSE**

Number:		Type:	012 RESTAURANT
Name:	RUBIO'S BAJA GRILL #73		
State:	Pending		
Issue Date:		Expiration Date:	
Original Issue Date:			
Location:	7407 W BELL ROAD STE 73 PEORIA, AZ 85382 USA		
Mailing Address:			
Phone:	(623)773-0998		
Alt. Phone:			
Email:	TJMORSE1208@Q.COM		

**AGENT**

Name:	THERESA JUNE MORSE
Gender:	Female
Correspondence Address:	5501 E JUSTINE ROAD SCOTTSDALE, AZ 85254 USA
Phone:	(480)353-8035
Alt. Phone:	
Email:	TJMORSE1208@Q.COM

**OWNER**

Name:	THE ORIGINAL FISH TACO ARIZONA LLC		
Contact Name:	THERESA JUNE MORSE		
Type:	LIMITED LIABILITY COMPANY		
AZ CC File Number:	23716137	State of Incorporation:	DE
Incorporation Date:	08/07/2024		
Correspondence Address:	530 E MCDOWELL RD 107-241 PHOENIX, AZ 85004 USA		
Phone:	(480)353-8035		
Alt. Phone:			
Email:	TJMORSE1208@Q.COM		

**Officers / Stockholders**

Name:	Title:	% Interest:
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**THE ORIGINAL FISH TACO ARIZONA LLC -  
Manager-LLC**

Name: JEFFERY JOSEPH CRIVELLO  
Gender: Male  
Correspondence Address: 530 E MCDOWELL ROAD  
#107-241  
PHOENIX, AZ 85004  
USA  
Phone: (847)651-2274  
Alt. Phone:  
Email:

**MANAGERS**

Name: JEFFERY JOSEPH CRIVELLO  
Gender: Male  
Correspondence Address: 530 E MCDOWELL ROAD  
#107-241  
PHOENIX, AZ 85004  
USA  
Phone: (847)651-2274  
Alt. Phone:  
Email:

\*\*\*\*\*

Name: WENDY JEAN DUNCAN  
Gender: Female  
Correspondence Address: 530 E MCDOWELL ROAD  
#107-241  
PHOENIX, AZ 85004  
USA  
Phone: (520)861-8149  
Alt. Phone:  
Email: WDUNCAN@RUBIOS.COM

## APPLICATION INFORMATION

Application Number: 308139  
Application Type: New Application  
Created Date: 09/04/2024

## QUESTIONS & ANSWERS

### 012 Restaurant

- 1) Are you applying for an Interim Permit (INP)?  
No
- 2) Are you one of the following? Please indicate below.  
Property Tenant  
Subtenant  
Property Owner  
Property Purchaser  
Property Management Company  
PROPERTY TENANT
- 3) Is there a penalty if lease is not fulfilled?  
Yes  
What is the penalty?  
LANDLORD REMEDIES
- 4) Is the Business located within the incorporated limits of the city or town of which it is located?  
Yes
- 5) What is the total money borrowed for the business not including the lease?  
Please list each amount owed to lenders/individuals.  
175K
- 6) Are there walk-up or drive-through windows on the premises?  
No
- 7) Does the establishment have a patio?  
Yes  
Is the patio contiguous or non-contiguous (within 30 feet)?  
CONTIGUOUS
- 8) Is your licensed premises now closed due to construction, renovation or redesign or rebuild?  
No
- 9) What type of business will this license be used for?  
RESTAURANT

CSR:
Amount:



# RESTAURANT APPLICATION SERIES 12

Arizona Dept. of Liquor Licenses and Control  
800 W. Washington St. 5<sup>th</sup> Floor Phoenix, AZ 85007  
(602) 542-5141

**DLIC USE ONLY**

Job #:	308139
Date Accepted:	9-4-24
CSR:	[Redacted]

*JP 308144*

Type or Print with **Black Ink**

**APPLICATION FEE AND INTERIM PERMIT FEES (IF APPLICABLE) ARE NOT REFUNDABLE**

**SECTION 1 Type of License**

- Interim Permit
- New License

**SECTION 2 Type of Ownership (Legal Entity)**

- J.T.W.R.O.S.
- Corporation
- Trust
- Individual
- Limited Liability Co
- Tribe
- Partnership
- Club
- Other (Explain) \_\_\_\_\_

Apply to become an Arizona Lottery retailer.

**SECTION 3 Type of Privilege**  Growler privileges - please attach Growler application. (300-foot restriction applies)  
A.R.S. §4-207(A) & (B)

**SECTION 4 Applicants**

- Agent's Name: MORSE THERESA JUNE  
Last First Middle
- Legal Entity/Sole Proprietor Name: THE ORIGINAL FISH TACO ARIZONA LLC  
(Ownership name for type of ownership checked in section 2) Last First Middle
- Premises Name (Doing Business As-DBA): RUBIO'S BAJA GRILL #73
- Premises Location Address: 7407 W BELL RD STE 73 PEORIA AZ 85382 MARICOPA  
(Do not use PO Box) Street City State Zip Code County
- Mailing Address: 530 E MCDOWELL RD STE 107-241 PHOENIX AZ 85004  
(All correspondence will be mailed to this address) Street City State Zip Code
- Business Phone: 623-773-0998 Cell Number: 480-353-8035
- Email Address: TJMORSE1208@Q.COM

8. Is the Business located within the incorporated limits of the above city or town?  Yes  No  
If you checked no, in what City, Town, County or Tribal/Indian Community is this business located? \_\_\_\_\_

Department Use Only				
Fees:	100	50	—	\$ 150.00
	Application	Interim Permit	Site Inspection	Finger Prints
				Total of All Fees
Is Arizona Statement of Citizenship & Alien Status for State Benefits complete? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No				

**SECTION 5 Interim Permit**

If you intend to operate business while the application is pending, you will need an interim permit pursuant to A.R.S. § 4-203.01. For approval of an interim permit: There must be a valid license of the same series currently issued to the location.

- 1. Current license number at the location: \_\_\_\_\_ 2. Is the license currently in use?  Yes  No
- 2. If the license is **NOT** currently in use, how long has it been since the license was last used at this location? \_\_\_\_\_

I (Print Full Name) \_\_\_\_\_ hereby declare that I am the Current Owner, Agent, or Controlling Person on the stated license and location.

Sign in front of Notary: \_\_\_\_\_  
(Current Agent/Individual as listed on the license certificate)

State of _____ County of _____ Signed before me on this ____ day of _____, 20____. Notary Signature _____ My commission expires on ____ / ____ / ____	<div style="border: 1px solid black; width: 100%; height: 100%; margin: 0 auto;"> <p style="text-align: center; margin: 0;">Notary Seal</p> </div>
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**SECTION 6 Background Check**

EACH PERSON LISTED MUST SUBMIT A QUESTIONNAIRE, FINGERPRINT CARD, AND \$22 PROCESSING FEE PER CARD.

- 1. If the applicant is an entity, and not an individual, answer questions 1a-b.
  - a) Date Incorporated/Organized: 8/07/2024 State where Incorporated/Organized: DELAWARE
  - b) AZ Corporation or AZ L.L.C. Entity No: 23716137 Approval Date: 8/16/2024 108

2. List any individual or entity that owns a beneficial interest of 10% or more and/or controls the applicant or licensee. If the applicant is owned by another entity, attach an organizational chart showing the ownership structure.

Last	First	Middle	Title	%Owned	Mailing Address	City	State	Zip
CRIVELLO	JEFFERY	JOSEPH	MANAGER	100	[REDACTED]			

(Attach additional sheet if necessary)

**SECTION 7 Business Financials A.R.S. §4-202(F)**

24 9 4 Licr. Dept AM 8 51

**1. I am the:**

- Tenant: a person who holds the lease of a property; a lessee.
- Subtenant: a person who holds a lease which was given to another person (tenant) for all or part of a property.
- Owner
- Purchaser
- Management Company

2. If the premises is leased give lessors: Name: ARROWHEAD PALMS LLC  
 Address: 5343 N 16TH ST #460 PHOENIX AZ 85016  
Street City State Zip

3. What is the penalty for tenant/sub-tenant if the lease is not fulfilled? \$ LANDLORD REMEDIES

4. Total money borrowed for the Business, not including lease? \$ 175K

**Please List Lenders/People you owe money to for business:**

Last	First	Middle	Amount Owed	Mailing Address	City	State	Zip
WEXFORD	CAPITAL		175K	777 S FLAGLER DR STE 602 WEST PALM BEACH FL 33401			

(Attach additional sheet if necessary)

5. Has a license or a transfer license for the premises on this application been denied by the state within the past year?  
 Yes  No If yes, attach explanation.

6. Does any spirituous liquor manufacturer, wholesaler, or employee have an interest in your business?  
 Yes  No If yes, attach explanation.

**SECTION 8 Diagram of Premises**



**Check ALL boxes that apply to your business:**

- No Patio
- Patio: Contiguous
- Walk-up or drive-through windows
- Patio: Non-Contiguous within 30 feet

1. Is your licensed premises now closed due to construction, renovation or redesign or rebuild?  
 Yes  No If yes, what is your estimated completion date? \_\_\_\_/\_\_\_\_/\_\_\_\_

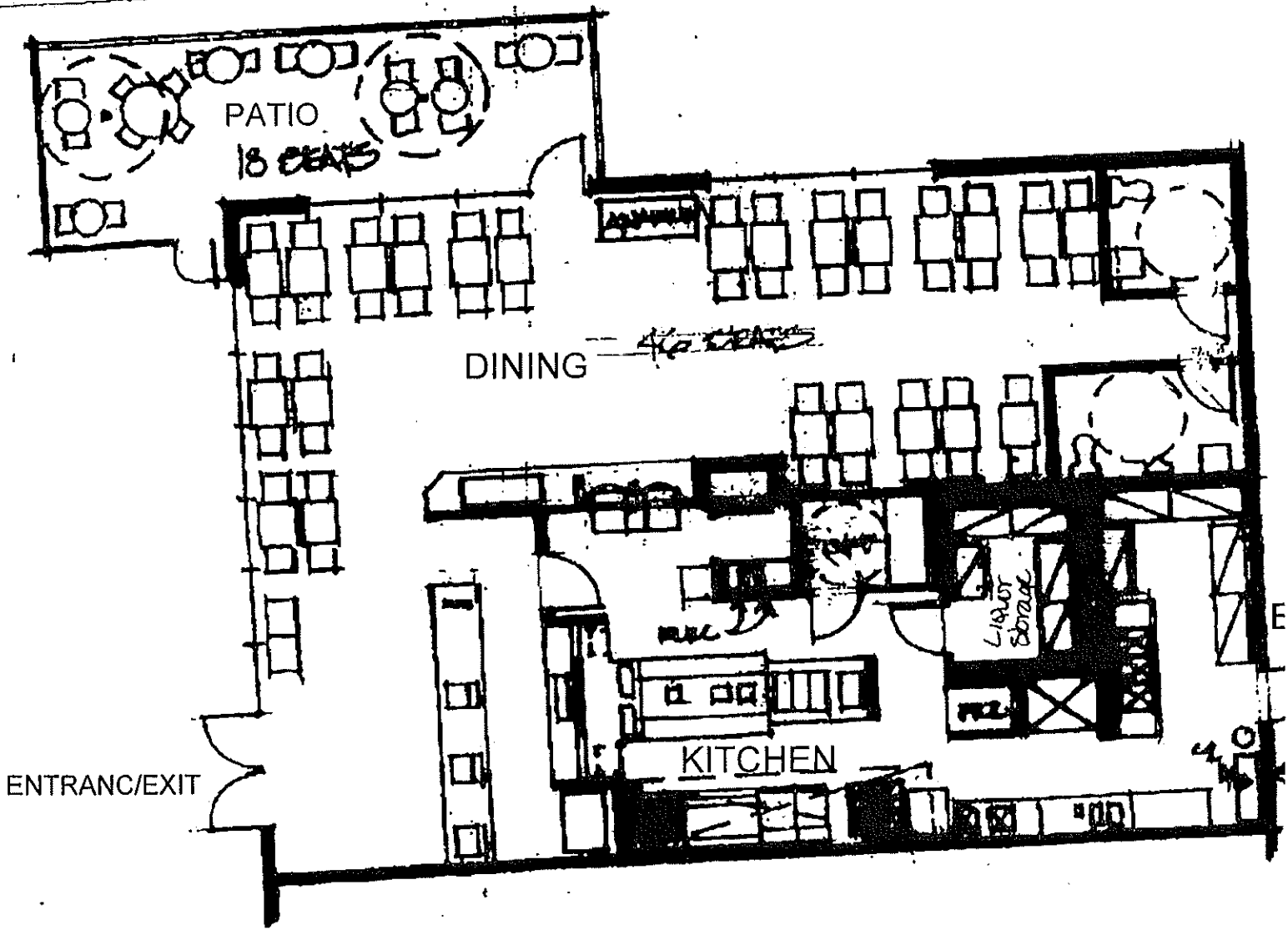
2. What type of business will this license be used for? (Be specific) RESTAURANT

3. **Please attach a diagram of the premises** which clearly shows only the areas where spirituous liquor will be sold, served, consumed, dispensed, possessed or stored. Include entrances, exits, and interior walls, bar areas, dining areas, dance floor, stage, game room and kitchen.

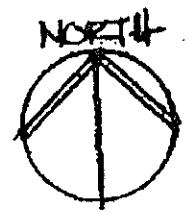
4. Provide the square footage or outside dimensions of the licensed premises. Please do not include non-licensed areas such as parking lots, living quarters, etc.

5. Provide a detailed drawing of the kitchen and dining areas, including the locations of all kitchen equipment and dining furniture. These are required as part of the diagram. A.R.S. §4-205.02(C)

6. Provide a restaurant operation plan.



RUBIO'S BAJA GRILL  
 75th AVE & PELL PRORIA, AZ  
 1/8" = 1'-0" A 4/29/98



SQ FEET  
 2,007

# DO NOT INCLUDE

24 9 4 Lqr. DEPT AN 8 51

Parking lots, living quarters or areas where business is not conducted under this liquor license.  
Please identify which orientation is North on the diagram.

**IMPORTANT NOTE:** As stated in A.R.S. §4-207.01 (B), it is the licensee's responsibility to notify the Department of Liquor by Licenses and Control when there are changes to the service areas or the square footage of the licensed premises, either increase or decrease.

**IMPORTANT NOTE:** A site inspection must be conducted prior to activation of the license. A \$50.00 fee for the inspection will be due and payable upon submitting this application.

## SIGNATURE

THERESA JUNE MORSE

I, (Print Full Name) \_\_\_\_\_ hereby swear under penalty of perjury that I have read and understand the foregoing and verify that the information and statements that I have made herein are true and correct to the best of my knowledge.

\_\_\_\_\_  
Applicant Signature





## RESTAURANT/HOTEL/MOTEL OPERATION PLAN

Arizona Dept. of Liquor Licenses and Control  
800 W. Washington St. 5<sup>th</sup> Floor Phoenix, AZ 85007  
(602) 542-5141

Type or Print with **Black Ink**

**RUBIOS BAJA GRILL #73**

1. Name of restaurant (Please print): \_\_\_\_\_

2. Must indicate the equipment below by Make, Model, and Capacity:

**LIST ONLY THE FOLLOWING - NO ATTACHMENTS**

Grill	WOLF 36" FLAT & WOLF GRIDDLE
Oven	WOLF 220,000 BTU'S
Freezer	SINGLE DOOR TRAUlsen
Refrigerator	BEVERAGE AIR DOUBLE DOOR WITH SANDWICH PREP
Sink	TRIPLE SINK, PREP SINK, HAND SINK, MOP SINK
Dish Washing Facilities	TRIPLE COMPARTMENT SINK
Food Preparation Counter (Dimensions)	MULTIPLE STAINLESS STEEL PREP TABLES
Other	VULCAN FRYER, SODA FTN, ICE MACHINE

3. Attach a copy of your FULL menu with pricing INCLUDING NON-ALCOHOLIC BEVERAGES

4. What percentage of your public premises is used primarily for restaurant dining?

(Do not include kitchen, bar, hi-top tables, or game area.) 78 %

5. Does your restaurant have a bar area that is distinct and separate from the dining area?  YES  No

(If yes, what percentage of the public floor space does this area cover?) \_\_\_\_\_%

6. List the seating capacity for:

a) Restaurant dining area of your premises: [ 48 ]

(DO NOT INCLUDE PATIO SEATING)  
b) Bar area [ + 0 ]

TOTAL [ = 48 ]

# TACOS

Taco plates served with pinto beans and chips (320 cal). Served with corn tortillas unless otherwise listed.

## GRILLED SEAFOOD

à la carte | 2 taco plate

### GOURMET SHRIMP

Toasted cheese, avocado, crispy bacon, cilantro/onion and chipotle sauces.  
5.79 | 340 cal

12.99 | 670 cal

### SALSA VERDE SHRIMP

Avocado, cheese, cabbage, cilantro/onion and chipotle sauce on a flour tortilla.  
5.49 | 290 cal

12.49 | 570 cal

### MEXICAN STREET CORN SHRIMP

Toasted cheese, roasted corn, cotija cheese, cilantro/onion and chipotle sauce.  
5.79 | 340 cal

12.79 | 670 cal

### WILD-CAUGHT MANGO MAHI MAHI

Grilled or blackened with guacamole, mango salsa, cabbage and chipotle sauce on a flour tortilla.  
5.99 | 280/300 cal

13.29 | 570/600 cal

### WILD-CAUGHT MAHI MAHI

Grilled or blackened with roasted corn, cabbage, cilantro/onion and chipotle sauce.  
5.79 | 280/240 cal

12.99 | 450/480 cal

### ATLANTIC SALMON

Grilled or blackened with roasted corn, cabbage, cilantro/onion and chipotle sauce.  
6.29 | 270/280 cal

13.49 | 550 cal

## TACO TRIOS

Add chips and beans for \$2.29

### THE COASTAL TRIO

The Original Fish Taco<sup>®</sup>, Salsa Verde Shrimp Taco and Wild-Caught blackened Mahi Mahi Taco.  
13.49 | 840 cal

### SHRIMP TRIO

Grilled Gourmet Shrimp Taco, Salsa Verde Shrimp Taco and Mexican Street Corn Shrimp Taco.  
14.49 | 960 cal

## GRILLED CHICKEN & STEAK

à la carte | 2 taco plate

### GRILLED GOURMET TACOS™

Toasted cheese, avocado, crispy bacon, cilantro/onion and chipotle sauces.  
All Natural Chicken 5.49 | 350 cal

11.99 | 700 cal

All Natural Steak 5.79 | 370 cal

13.49 | 730 cal

### CLASSIC TACOS

Guacamole, cheese, salsa fresca, romaine and chipotle sauce.  
All Natural Chicken 4.99 | 250 cal

10.99 | 510 cal

All Natural Steak 5.49 | 270 cal

12.99 | 550 cal

à la carte | 3 taco plate

### STREET TACOS

Guacamole and cilantro/onion.  
All Natural Chicken 4.29 | 100 cal

10.99 | 310 cal

All Natural Steak 4.49 | 120 cal

12.49 | 360 cal

## MIX 'N MATCH PLATE

Any two tacos with pinto beans and chips.  
9.99-14.49 | 520-1100 cal

*The Original*  
**FISH TACO®**

WILD-CAUGHT ALASKA POLLOCK  
BEER-BATTERED BY HAND

à la carte | 2 taco plate

### THE ORIGINAL FISH TACO®

Mild salsa, white sauce and cabbage.  
4.99 | 330 cal

9.99 | 660 cal

### FISH TACO ESPECIAL

The Original Fish Taco<sup>®</sup> with guacamole, cheese and cilantro/onion  
5.49 | 390 cal

11.49 | 780 cal



\*Product availability may vary depending on location

# BOWLS & SALADS

## CALIFORNIA BOWL

Guacamole, citrus rice, black beans, salsa fresca, romaine, chipotle sauce and salsa verde or roasted chipotle salsa.  
610-770 cal

## CILANTRO LIME QUINOA BOWL

Grilled veggies, avocado slices, brown rice & quinoa, black beans, romaine, cilantro lime sauce and almonds.  
+\$1.50 | 630-780 cal

## MEXICAN STREET CORN BOWL

Roasted corn, guacamole, citrus rice, black beans, romaine, cotija cheese, cilantro/onion, chili lime tajin<sup>®</sup>, cilantro lime mojo sauce and chipotle sauce.  
+\$1 | 790-940 cal

## CHOPPED SALAD

Cheese, tortilla strips, salsa fresca, romaine, cabbage and chipotle ranch.  
440-590 cal

## MANGO AVOCADO SALAD

Brown rice & quinoa, romaine, mango salsa, avocado slices, tortilla strips and lemon agave vinaigrette.  
+\$1.50 | 520-670 cal

## AVOCADO CORN TACO SALAD

Roasted corn, avocado slices, black beans, romaine, salsa fresca, tortilla strips and pickled jalapeno ranch.  
+\$1 | 500-650 cal

## CHOOSE YOUR PROTEIN:

Grilled Shrimp	12.99	All Natural Chicken	11.49
Wild-Caught Mahi Mahi	13.49	All Natural Steak	12.99
Atlantic Salmon	13.79	Grilled Veggies	11.99

\*Product availability may vary depending on location

# QUESADILLAS & NACHOS

## QUESADILLA

Guacamole, sour cream, salsa fresca and chips.  
1010 - 1160 cal

## LOADED NACHOS

Guacamole, pinto beans, sour cream and salsa fresca.  
+50¢ | 100 - 1250 cal

### CHOOSE YOUR PROTEIN:

Cheese	9.99	All Natural Steak	12.99
All Natural Chicken	11.49	Grilled Shrimp	12.49

## SIDES

<b>GUACAMOLE &amp; CHIPS</b>		<b>BLACK BEANS</b>	
4.99   340 cal		2.49   100 cal	3.99   280 cal
<b>TORTILLA CHIPS</b>		<b>NO-FRIED PINTO BEANS</b>	
2.49   210 cal	3.99   460 cal	2.49   110 cal	3.99   300 cal
<b>MEXICAN OR CITRUS RICE</b>		<b>BROWN RICE &amp; QUINOA</b>	
2.49   100 cal	3.99   270 cal	2.79   80 cal	4.29   210 cal
<b>MEXICAN STREET CORN</b>			
4.49   240 cal			

2,000 calories a day is used for general nutrition advice, but calorie needs vary.  
Additional nutrition information available upon request.

*\*Product availability may vary depending on location*

# BURRITOS

Served with chips (210 cal).

## GRILLED SEAFOOD

### CLASSIC SHRIMP

Cheese, salsa fresca, Mexican rice, pinto beans and chipotle sauce.  
12.49 | 880 cal

### ANCHO CITRUS SHRIMP

Guacamole, citrus rice, black beans, salsa fresca and chipotle sauces.  
12.49 | 830 cal

### SHRIMP & BACON

Toasted cheese, guacamole, blackened chilies, citrus rice, salsa fresca and chipotle sauces.  
12.99 | 1010 cal

### WILD-CAUGHT MAHI MAHI

Grilled or blackened with guacamole, roasted corn, Mexican rice, cabbage, cilantro/onion and chipotle sauce.  
13.49 | 830/850 cal

### ATLANTIC SALMON

Grilled or blackened with guacamole, roasted corn, Mexican rice, cabbage, cilantro/onion and chipotle sauce.  
13.99 | 920 cal

### SIGNATURE BEER-BATTERED FISH

Guacamole, black beans, white sauce, cabbage, cilantro/onion and mild salsa.  
12.49 | 940 cal

## GRILLED CHICKEN, STEAK & OTHER

### BURRITO ESPECIAL

Guacamole, citrus rice, black beans, salsa fresca and chipotle sauces.  
All Natural Chicken 10.99 | 980 Cal All Natural Steak 12.49 | 930 Cal  
Impossible™ Protein 13.49 | 950 Cal

### CALIFORNIA BURRITO

Seasoned fries, guacamole, melted cheese, salsa fresca and chipotle sauce.  
All Natural Chicken 12.49 | 1070 Cal All Natural Steak 12.99 | 1120 Cal  
Impossible™ Protein 13.99 | 1160 Cal

### BEAN & CHEESE

With mild salsa.  
8.99 | 750 cal

Impossible™ Protein is a registered trademark of Impossible Foods Inc. used under license.

\*Product availability may vary depending on location

# 5 UNDER \$10

THE ORIGINAL FISH TACO · TWO TACO PLATE 9.99 | 980 cal

**BAJA BEER-BATTERED SHRIMP TWO TACO PLATE 9.99 | 1020 cal**  
Sustainable shrimp fried in our signature beer-batter with cabbage, salsa fresca and Baja sauce. Served with chips and pinto beans.

**BAJA GRILL CHICKEN BURRITO 8.99 | 860 cal**  
Grilled chicken, melted cheese, guacamole and salsa fresca wrapped in a warm flour tortilla. Served with chips. **45% less carbs.\***

**CLASSIC TACO TRIO 9.99 | 760-820 cal**  
Mix and match three Classic Chicken or Classic Steak Tacos.

**CHOPPED SALAD WITH CHICKEN 9.99 | 480 cal**

## KIDS

Choose an entrée, 2 sides and a kids drink.  
Sides: chips, rice, beans, fries +25¢ (+310 cal),  
applesauce (+50 cal) or Kids churro (+150 cal).

### ENTRÉES

**BEAN & CHEESE BURRITO 6.99 | 580 cal**

**CHICKEN BOWL 6.99 | 300 cal**

Mexican rice, black beans & cheese.

**QUESADILLA 6.99 | 540 cal**

Cheese +50¢ | 580 cal

Chicken +50¢ | 580 cal

**BUILD YOUR OWN TACOS**

Choice of Grilled All Natural Chicken, All Natural Steak, Shrimp or Wild Mahi Mahi (+50¢) with cheese, lettuce and two flour tortillas. **6.99 | 380/440 cal**

### KIDS DIPPER

All Natural Chicken Bites or Wild-Caught Crispy Fish. Served with fries and ranch or ketchup and a Kids drink. **6.99 | 730/1060 cal**

## DRINKS

*Free Gift* DAIYANI

**BEVERAGE BAR 7.99 | 3.99 | 0-450 cal**

**BOTTLED DRINKS 3.49-3.99 | 0-220 cal**

**MEXICAN BEER 5.99 | 140-150 cal**

**MARGARITA 6.49 | 210 cal**



\*Compared to The Rubio's Burrito Especial with Chicken.

\*Product availability may vary depending on location



**RECORDS REQUIRED  
FOR AUDIT  
RESTAURANT/HOTEL/MOTEL**

Arizona Dept. of Liquor Licenses and Control  
800 W. Washington St. 5<sup>th</sup> Floor Phoenix, AZ 85007  
(602) 542-5141

Type or Print with Black Ink

In the event of an audit, you will be asked to provide to the Department any documents necessary to determine Compliance with A.R.S. §4-205.02(G). Such documents requested may include however, are not limited to:

**RUBIO'S BAJA GRILL # 73**

1. Name of restaurant (Please print): \_\_\_\_\_
2. All invoices and receipts for the purchase of food and spirituous liquor for the licensed premises.
3. A list of **all** food and liquor vendors
4. The restaurant menu used during the audit period
5. A price list for alcoholic beverages during the audit period
6. Mark-up figures on food and alcoholic products during the audit period
7. A recent, **accurate** inventory of food and liquor (taken within two weeks of the Audit Interview Appointment)
8. Monthly Inventory Figures - beginning and ending figures for food and liquor
9. Chart of accounts (copy)
10. Financial Statements-Income Statements-Balance Sheets

**11. General Ledger**

**A. Sales Journals/Monthly Sales Schedules**

- 1) Daily sales Reports (to include the name of each waitress/waiter, bartender, etc. with sales for that day)
- 2) Daily Cash Register Tapes - Journal Tapes and Z-tapes
- 3) Dated Guest Checks
- 4) Coupons/Specials/Discounts
- 5) Any other evidence to support income from food and liquor sales

**B. Cash Receipts/Disbursement Journals**

- 1) Daily Bank Deposit Slips
- 2) Bank Statements and canceled checks

**12. Tax Records**

**A. Transaction Privilege Sales, Use and Severance Tax Return (copies)**

**B. Income Tax Return - city, state and federal (copies)**

**C. Any supporting books, records, schedules or documents used in preparation of tax returns**

13. Payroll Records

- A. Copies of all reports required by the State and Federal Government
- B. Employee Log (A.R.S. §4-119)
- C. Employee time cards (actual document used to sign in and out each work day)
- D. Payroll records for all employees showing hours worked each week and hourly wages

14. Off-site Catering Records (must be complete and separate from restaurant records)

- A. All documents which support the income derived from the sale of food off the license premises.
- B. All documents which support purchases made for food to be sold off the licensed premises.
- C. All coupons/specials/discounts

The sophistication of record keeping varies from establishment to establishment. Regardless of each licensee's accounting methods, the amount of gross revenue derived from the sale of food and liquor must be substantially documented.

**REVOCAION OF YOUR LIQUOR LICENSE MAY OCCUR IF YOU FAIL TO COMPLY WITH  
A.R.S. §4-210(A)7 AND A.R.S. §4-205.02(G).**

**A.R.S. §4-210(A)7**


The licensee fails to keep for two years and make available to the department upon reasonable request all invoices, records, bills or other papers and documents relating to the purchase, sale and delivery of spirituous liquors and, in the case of a restaurant or hotel-motel licensee, all invoices, records, bills or other papers and documents relating to the purchase, sale and delivery of food.

**A.R.S. §4-205.02(G)**

For the purpose of this section:

- 1. "Restaurant" means an establishment which derives **at least forty percent (40%)** of its gross revenue from the sale of food
- 2. "Gross revenue" means the revenue derived from all sales of food and spirituous liquor on the licensed premises regardless of whether the sales of spirituous liquor are made under a restaurant license issued pursuant to this section or under any other license that has been issued for the premises pursuant to this article.

I, (Print Full Name) THERESA JUNE MORSE, hereby swear under penalty of perjury and in compliance with A.R.S. § 4-210(A)(2) and (3) that I have read and understand the foregoing and verify that the information and statements that I have made herein are true and correct to the best of my knowledge.

Applicant Signature: 

**\*MAKE A COPY OF THIS DOCUMENT AND KEEP IT WITH RECORDS REQUIRED BY THE STATE\***

7. What type of dinnerware is primarily used in your restaurant?  Reusable  Disposable  Both

8. Does your restaurant contain any **games, televisions, or any other entertainment**?  YES  No

If yes, specify what types and how many (examples: 4-TV's, 2-Pool Tables, 1-Video Game, etc.)

_____	_____
_____	_____
_____	_____
_____	_____


9. Do you have live entertainment or dancing?  YES  No  
If yes, what type and how often (example: DJ-2 x a week, Karaoke-2 x a month, Live Band-1 x a month, etc.)

_____	_____
_____	_____
_____	_____
_____	_____

10. List number of employees for each position:

Position	How many
Cooks	6
Bartenders	0
Hostesses	0
Managers	1
Servers + CASHIERS	5
Other ( RUNNERS )	2
Other ( )	
Other ( )	

I, (Print Full Name) Theresa June Morse, hereby swear under penalty of perjury and in compliance with A.R.S. § 4-210(A)(2) and (3) that I have read and understand the foregoing and verify that the information and statements that I have made herein are true and correct to the best of my knowledge.

Applicant Signature: 



Arizona Dept. of Liquor Licenses and Control
https://www.azliquor.gov
(602) 542-5141

DLCC USE ONLY
Fee: 250
Job #: 308139
Date Accepted: 9/14/24
CSR: [Redacted]

Personal Information Questionnaire

FP Current 8-17-23

ATTENTION APPLICANT: This is a legally binding document. An investigation of your background will be conducted. Incomplete applications will not be accepted. False or misleading answers may result in the denial or revocation of a license or permit and could result in criminal prosecution.

THE COMPLETED QUESTIONNAIRE NEEDS TO BE SUBMITTED TO THE DEPARTMENT ALONG WITH A \$22. FEE, AND FD-258 FINGERPRINT CARD, THAT HAS BEEN SEALED IN AN ENVELOPE, AND SIGNED OR INITIALED BY THE FINGERPRINT TECHNICIAN, MUST INCLUDE THE FINGERPRINT VERIFICATION FORM. MUST BE COMPLETED BY A RECOGNIZED FINGERPRINT SERVICE OR LAW ENFORCEMENT AGENCY.

Agent: a person who is designated by an applicant or licensee to receive communications from the department and to file and sign documents submitted to the department on behalf of the applicant or licensee. An agent is not a manager.
A.R.S. §4-202(A).

Controlling Person: person directly or indirectly possessing control of an applicant or licensee.
A.R.S. §4-101(10).

Manager: An individual (not an entity) approved by the Department of Liquor who has the authority to organize, direct, carry out, control or to otherwise operate the day-to-day operations of a liquor-licensed business.
A.R.S. §4-101(22) and A.R.S. §4-202(C)

SECTION 1 - INDIVIDUAL INFORMATION

- [X] AGENT [ ] CONTROLLING PERSON [ ] MANAGER

1. Name: MORSE THERESA JUNE
2. Social Security #: [Redacted] Birth Date: [Redacted]
3. Driver's License #: [Redacted] State Issued: [Redacted]
5. Are you a resident of Arizona? [X] Yes [ ] No Date of residency: 07 / 04 / 1981
6. Email address: TJMORSE1208@Q.COM
7. Home Address: [Redacted]
8. Daytime phone #: 480-353-8035 Alternative phone #: 480-353-8035

SECTION 2 - LICENSED BUSINESS INFORMATION

1. License Number:
2. Business Name (doing business as): RUBIO'S BAJA GRILL #73
3. Business Address: 7407 W BELL RD STE 73 PEORIA AZ 85382

SECTION 3 – DAY TO DAY OPERATION OF BUSINESS

24 9 4 Licr. Dept # 8 52

Must attach copies of Basic and Management Title 4 training certificates for person managing the day to day operation of the licensed business.

Who is managing the day to day operations?  Agent  Controlling Person  Manager

Name of persons who will be handling the day to day operations: \_\_\_\_\_

DUNCAN, WENDY JEAN

SECTION 4 – BACKGROUND

If you answer "YES" to any Question 1 through 5 YOU MUST attach a signed statement. Give complete details including dates, agencies involved and dispositions. CHANGES TO QUESTIONS 1-5 MAY NOT BE ACCEPTED

- 1. Have you owned, or been a controlling person of any entities that held a liquor license in Arizona, or any jurisdiction, in the past 5 years? Yes  No
- 2. Have you been cited, arrested, indicted, convicted, or required to appear in court for violation of ANY criminal law or ordinance, regardless of the disposition, even if dismissed or expunged, within the past 5 years? Yes  No
- 3. Has an entity in which you are or have been a controlling person had an application or license rejected, denied, revoked, or suspended in or outside of Arizona within the last 5 years? A.R.S. §4-202(D) Yes  No
- 4. Have you had ANY administrative law citations, compliance actions, or consents, in any jurisdiction in the past 5 years? (Do not include civil traffic tickets) A.R.S. §4-202, 4-210 Yes  No   
\*Administrative Law Violations are any civil penalties, fines, suspension, or revocations of your liquor license.
- 5. Has anyone EVER obtained a judgement against you the subject of which involved fraud or misrepresentation? Yes  No

I, (Print Full Name) THERESA JUNE MORSE hereby swear under penalty of perjury and in compliance with A.R.S. § 4-210(A)(2) and (3) that I have read and understand the foregoing and verify that the information and statements that I have made herein are true and correct to the best of my knowledge.

Signature:  Date: 9/1/24



SECTION 3 – DAY TO DAY OPERATION OF BUSINESS § 9-4 Liqueur, Dept. of 8 52

Must attach copies of Basic and Management Title 4 training certificates for person managing the day to day operation of the licensed business.

Who is managing the day to day operations?  Agent  Controlling Person  Manager

Name of persons who will be handling the day to day operations: \_\_\_\_\_

**DUNCAN, WENDY JEAN**

SECTION 4 – BACKGROUND

If you answer "YES" to any Question 1 through 5 YOU MUST attach a signed statement. Give complete details including dates, agencies involved and dispositions. CHANGES TO QUESTIONS 1-5 MAY NOT BE ACCEPTED

- 1. Have you owned, or been a controlling person of any entities that held a liquor license in Arizona, or any jurisdiction, in the past 5 years? Yes  No
- 2. Have you been cited, arrested, indicted, convicted, or required to appear in court for violation of ANY criminal law or ordinance, regardless of the disposition, even if dismissed or expunged, within the past 5 years? Yes  No
- 3. Has an entity in which you are or have been a controlling person had an application or license rejected, denied, revoked, or suspended in or outside of Arizona within the last 5 years? A.R.S. §4-202(D) Yes  No
- 4. Have you had ANY administrative law citations, compliance actions, or consents, in any jurisdiction in the past 5 years? (Do not include civil traffic tickets) A.R.S. §4-202, 4-210 Yes  No   
\*Administrative Law Violations are any civil penalties, fines, suspension, or revocations of your liquor license.
- 5. Has anyone EVER obtained a judgement against you the subject of which involved fraud or misrepresentation? Yes  No

I, (Print Full Name) Jeffery Joseph Crivello hereby swear under penalty of perjury and in compliance with A.R.S. § 4-210(A)(2) and (3) that I have read and understand the foregoing and verify that the information and statements that I have made herein are true and correct to the best of my knowledge.

Signature: \_\_\_\_\_ Date: 9/1/2024

ADDENDUM TO QUESTIONNAIRE

Jeffery Joseph Crivello

Section 4

Question 1: I have been a controlling person on Famous Dave's and Barrio Queen in Arizona.

**Famous Dave's**

16148 N 83rd Ave, Peoria, AZ 85382

1011 N Dobson Rd, Mesa, AZ 85201

3250 W Frye Rd, Chandler, AZ 85226

**Barrio Queen:**

10455 W McDowell Rd, Avondale, AZ 85392

21001 N Tatum Blvd, Suite 98, Phoenix, AZ 85050

7640 W Bell Rd, Glendale, AZ 85308

10455 W McDowell Rd, Avondale, AZ 85392

21001 N Tatum Blvd, Suite 98, Phoenix, AZ 85050

7640 W Bell Rd, Glendale, AZ 85308

388 N Gilbert Rd, Gilbert, AZ 85234

7114 E Stetson Drive Ste 105, Scottsdale, AZ 85251

Respectfully submitted,

A large black rectangular redaction box covering the signature area.

Jeffery Joseph Crivello



Arizona Dept. of Liquor Licenses and Control
https://www.azliquor.gov
(602) 542-5141

DLIC USE ONLY
Fee: 250
Job #: 308139
Date Accepted: 9/4/24
CSR: [Redacted]

Personal Information Questionnaire

FP Current 6-2-2025

ATTENTION APPLICANT: This is a legally binding document. An investigation of your background will be conducted. Incomplete applications will not be accepted. False or misleading answers may result in the denial or revocation of a license or permit and could result in criminal prosecution.

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Agent: a person who is designated by an applicant or licensee to receive communications from the department and to file and sign documents submitted to the department on behalf of the applicant or licensee. An agent is not a manager.
A.R.S. 54-202(A).

Controlling Person: person directly or indirectly possessing control of an applicant or licensee.
A.R.S. 54-101(10).

General: An individual (not an entity) approved by the Department of Liquor who has the authority to organize, direct, carry out, control or to otherwise operate the day-to-day operations of a liquor-licensed business.
A.R.S. 54-101(22) and A.R.S. 54-202(C)

SECTION 1 - PERSONAL INFORMATION

[ ] AGENT [ ] CONTROLLING PERSON [x] MANAGER

1. Name: DUNCAN WENDY JEAN
2. Social Security # [Redacted] Birth Date: [Redacted]
3. Driver's License #: [Redacted] State Issued: [Redacted]
5. Are you a resident of Arizona? [x] Yes [ ] No Date of residency: 4 / 15 / 1969
6. Email address: WDUNCAN@RUBIOS.COM
7. Home Address: [Redacted]
8. Daytime phone #: 520-861-8149 Alternative phone #: 480-353-8035

SECTION 2 - BUSINESS INFORMATION

1. License Number:
2. Business Name (doing business as): RUBIO'S BAJA GRILL #73
3. Business Address: 7407 W BELL RD STE 73 PEORIA AZ 85382

SECTION 3 – DAY TO DAY OPERATION OF BUSINESS SEP 11 AM 10:37 AZ DULLC

Must attach copies of Basic and Management Title 4 training certificates for person managing the day to day operation of the licensed business.

Who is managing the day to day operations?  Agent  Controlling Person  Manager

Name of persons who will be handling the day to day operations: \_\_\_\_\_


DUNCAN, WENDY JEAN

SECTION 4 – BACKGROUND

If you answer "YES" to any Question 1 through 5 YOU MUST attach a signed statement. Give complete details including dates, agencies involved and dispositions. CHANGES TO QUESTIONS 1-5 MAY NOT BE ACCEPTED

- 1. Have you owned, or been a controlling person of any entities that held a liquor license in Arizona, or any jurisdiction, in the past 5 years? Yes  No
- 2. Have you been cited, arrested, indicted, convicted, or required to appear in court for violation of ANY criminal law or ordinance, regardless of the disposition, even if dismissed or expunged, within the past 5 years? Yes  No
- 3. Has an entity in which you are or have been a controlling person had an application or license rejected, denied, revoked, or suspended in or outside of Arizona within the last 5 years? A.R.S. §4-202(D) Yes  No
- 4. Have you had ANY administrative law citations, compliance actions, or consents, in any jurisdiction in the past 5 years? (Do not include civil traffic tickets) A.R.S. §4-202, 4-210 \*Administrative Law Violations are any civil penalties, fines, suspension, or revocations of your liquor license. Yes  No
- 5. Has anyone EVER obtained a judgement against you the subject of which involved fraud or misrepresentation? Yes  No

I, (Print Full Name) WENDY JEAN DUNCAN hereby swear under penalty of perjury and in compliance with A.R.S. § 4-210(A)(2) and (3) that I have read and understand the foregoing and verify that the information and statements that I have made herein are true and correct to the best of my knowledge.

Signature:  Date: 09/09/2024

Certificate of Completion  
For  
**Title 4 BASIC Liquor Law Training**

<input type="checkbox"/>	On-sale
<input type="checkbox"/>	Off-sale
<input checked="" type="checkbox"/>	On- and off-sale

A Certificate of Completion must be on a form provided by the Arizona Department of Liquor. Certificates are completed by a state-approved training provider and, when issued, the Certificate is signed by the course participant.  
 The State requires BASIC Title 4 training only as a prerequisite for MANAGEMENT Title 4 training or as a result of a liquor law violation. Persons required to have BASIC Title 4 training are listed at the back of this Certificate. Licensees sometimes require BASIC Title 4 training a condition of employment.  
 A replacement Certificate of Completion for Title 4 training must be available through the training provider for two years after the training completion date.

Student Information  
**Wendy Dungan**

08/27/2024      08/27/2027

Training Completion Date      Certificate Expiration Date  
(three years from completion date)

Training Provider Information

**ABC - Arizona Business Council for Alcohol Education**  
 Company Name  
 8155 North 24th Avenue, Suite A, Phoenix, Arizona 85021  
 Mailing Address  
 (602) 285-1396  
 Daytime Contact Phone Number

I, Jesus Altamirano, certify that the above named individual did successfully complete  
 Title 4 BASIC Training in accordance with A.R.S. §4-112(G)(2) and Arizona Administrative Code (A.A.C.) R19-1-103  
 using training course content and materials approved by the Arizona Department of Liquor Licenses and Control.  
 I understand that misuse of this Certificate of Completion can result in the revocation of State-approval for the Title  
 4 Training Provider named in this section as provided by A.A.C. R19-1-103(E) and (F).

27 / 5 / 24  
 Day      MO      Year

Persons required to complete BASIC & MANAGEMENT Title 4 training: 1) owner(s) actively involved in the daily business operations of a liquor-licensed business of a series listed below  
 2) licensees, agents and managers actively involved in the daily business operations of a liquor-licensed business of a series listed below

- |                                  |                                  |                         |                                      |
|----------------------------------|----------------------------------|-------------------------|--------------------------------------|
| In-state Microbrewery (series 3) | Government (series 5)            | Bar (series 6)          | Bear & Wine Bar (series 7)           |
| Conveyance (series 8)            | Liquor Store (series 9)          | Pivote Club (series 14) | Hotel/Motel w/restaurant (series 11) |
| Restaurant (series 12)           | In-state Farm Winery (series 13) |                         | Bear & Wine Store (series 10)        |
- Liquor license applications (initial and renewal) are not complete until valid Certificates of Completion for all required persons have been submitted to the Department of Liquor.  
 The questionnaire (which designates a manager to a location) and the agent change form (which assigns a new agent to active liquor licenses) are not complete until valid Certificates of Completion for all required persons have been submitted to the Department of Liquor.

**Certificate of Completion**  
**FOR**  
**TITLE 4 MANAGEMENT LIQUOR LAW TRAINING**

A Certificate of Completion must be on a form provided by the Arizona Department of Liquor. Certificates are completed by a store-approved training provider and, when issued, the Certificate is signed by the course participant. Basic Title 4 training is a prerequisite for MANAGEMENT Title 4 training. A valid Certificate of Completion for BASIC Title 4 training must be on file at the Department of Liquor and Distraction Commission or a store-approved provider prior to issuing a Certificate of Completion for MANAGEMENT Title 4 training. A replacement Certificate of Completion for Title 4 training must be available through the training provider for two years after the training completion date.

**Student Information**  
**Wendy Duncan**

**08/27/2024**

**08/27/2027**

Training Completion Date      Certificate Expiration Date  
 (Input year and month completion date)      (Input year and month completion date)

**Training Provider Information**  
**ABC - Arizona Business Council for Alcohol Education**

Company Name  
**8165 North 24th Avenue, Suite A, Phoenix, Arizona 85021**  
 Mailing Address  
**(602) 285-1396**  
 Daytime Contact Phone Number

I, **Jesus Altamirano**, certify that the above named individual did successfully complete  
Instructor Name (Please Print)  
**MANAGEMENT Training in accordance with A.R.S. §4-112(G)(2) and Arizona Administrative Code (A.A.C.) R19-1-103 using training course content and materials approved by the Arizona Department of Liquor licenses and control. I understand that misuse of this Certificate of Completion can result in the revocation of State-approval for the Training Provider.**

**21 / 8 / 24**  
 Day / Mo / Year

Persons required to complete BASIC & MANAGEMENT Title 4 trainings: 1) owner(s) actively involved in the daily business operations of a liquor-licensed business of a series listed below  
 2) licensees, agents and managers actively involved in the daily business operations of a liquor-licensed business of a series listed below

- |                                  |                                  |                          |                                    |
|----------------------------------|----------------------------------|--------------------------|------------------------------------|
| In-state Microbrewery (series 3) | Government (series 5)            | Bar (series 6)           | Beer & Wine Bar (series 7)         |
| Conveyance (series 8)            | Liquor Store (series 9)          | Private Club (series 14) | Hotel/Motel/Restaurant (series 11) |
| Restaurant (series 12)           | In-state Farm Winery (series 13) |                          | Beer & Wine Store (series 10)      |
- The questionnaire (which designates a manager to a location) and the agent change form (which assigns a new agent to active liquor licenses) are not complete until valid Certificates of Completion for all required persons have been submitted to the Department of Liquor.